Substitute for form 1449A/B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 8

	Complete if Known
Application Number	09/589,288
Filing Date	June 8, 2000
First Named Inventor	Guo-Liang Yu
Group Art Unit	1647
Examiner Name	Bridget E. Bunner
Attorney Docket Number	702041
Client Reference No.	PF343P3C5

				U.S. PATENT DOCUMENTS		
Examiner Initials	Doc. No.	U.S. Patent Document  Application or Patent Number  Kind Code		Name of Patentee or Applicant	Date of Publication	Filing Date If Appropriate
	ΧA	2002/0165156	A1	Browning et al.	11/07/2002	
*****	ХВ	2002/0172674	A1	Browning et al.	11/21/2002	
	хс	2003/0023038	A1	Rennert et al.	01/30/2003	
	XD	2003/0194743	A1	Beltzer et al.	10/16/2003	
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	XF	2005/0169924	A1	Browning et al.	08/04/2005	
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	XР	2009/0110676	A1	Mackay et al.	04/30/2009	
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		For	eign Patent Documer	nt			
Examiner Initials	Doc. No.	Office	Application or Patent Number	Kind Code	Name of Patentee or Applicant	Date of Publication	Translation *
	ΥD	WO	99/46295	A1	Shanghai Second Medical University	09/16/1999	
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	YF	wo	99/60127	A2	Genentech, Inc.	11/25/1999	
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	ΥH	EP	0 910 635	B1	ZymoGenetics, Inc.	08/29/2007	

Examiner Signature	Date Considered

<sup>\*</sup> If the reference is not in English, then at least one of the following is provided: (a) an English translation in whole or in part or (b) a concise statement of relevance in the form of, for example, an English language counterpart, an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office.

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Substitute for fo	rm 1449A/B/PTO			Application Number	09/589,288
INEC	DRMATION I	חופר	LOSUBE	Filing Date	June 8, 2000
				First Named Inventor	Guo-Liang Yu
SIA	TEMENT BY	Y AP	PLICANI	Group Art Unit	1647
	(Use as many sheet	ts as ned	cessary)	Examiner Name	Bridget E. Bunner
	,		• •	Attorney Docket Number	702041
Sheet	2	of	8	Client Reference No.	PF343P3C5

		OTHER - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Doc. No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number (s), publisher, city and/or country where published.	Translation *
	ΥI	DANIEL et al., <i>Virology</i> , "Mapping of Linear Antigenic Sites on the S Glycoprotein of a Neurotropic Murine Coronavirus with Synthetic Peptides: A Combination of Nine Prediction Algorithms Fails To Identify Relevant Epitopes and Peptide Immunogenicity Is Drastically Influenced by the Nature of the Protein Carrier," 202:540-549 (1994)	
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	ΥK	HARLOW and LANE eds., <u>Antibodies: A Laboratory Manual</u> , Cold Spring Harbor Press, pp. 15 and 626-631 (1988)	
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	ΥM	LI et al., <i>Proc. Natl. Acad. Sci. USA</i> , "β-Endorphin omission analogs:Dissociation of immunoreactivity from other biological activities," 77(6):3211-3214 (1980)	
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	YO	SHIVAKUMAR et al., <i>Clin. Lymphoma Myeloma</i> , "Targeting B-Lymphocyte Stimulator/B-Cell Activating Factor and a Proliferation-Inducing Ligand in Hematologic Malignancies," 7(2):106-108 (2006)	
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	YQ	Advisory Action issued in U.S. Patent Application No. 11/232,439, dated August 14, 2009	
	YR	Non-Final Rejection issued in U.S. Patent Application No. 11/232,439, dated November 5, 2009	
	YS	Requirement for Restriction/Election issued in U.S. Patent Application No. 12/170,333, dated May 28, 2009	
	ΥT	Non-Final Rejection issued in U.S. Patent Application No. 12/170,333, dated September 17, 2009	
	YU	Requirement for Restriction/Election issued in U.S. Patent Application No. 12/210,134, dated June 24, 2009	
	ΥV	Requirement for Restriction/Election issued in U.S. Patent Application No. 12/393,693, dated June 25, 2009	
	YW	International Preliminary Examination Report issued in PCT Patent Application No. PCT/US06/38756, dated May 29, 2009	
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Examiner Signature	Date Considered	

<sup>\*</sup> If the reference is not in English, then at least one of the following is provided: (a) an English translation in whole or in part or (b) a concise statement of relevance in the form of, for example, an English language counterpart, an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office.

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	(		, , , , , , , , , , , , , , , , , , ,	Attorney Docket Number	702041	
Sheet	3	of	8	Client Reference No.	PF343P3C5	

		OTHER - NON PATENT LITERATURE DOCUMENTS	г
Examiner Initials	Doc. No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number (s), publisher, city and/or country where published.	Translation *
	ZB	BETTER et al, "T Cell-targeted Immunofusion Proteins from Escherichia coli", <i>The Journal of Biological Chemistry</i> , 270(25): 14951-14957 (1995)	
	ZC	Extended European Search Report, European Application No. 06851283.9 dated November 3, 2009	
	ZD	American College of Rheumatology, "The 1982 Revised Criteria for Classification of Systemic Lupus Erythematosus," from URL:http://www.rheumatology.org/publications/classification/SLE/sle.asp	
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	ZF	ERIKSSON et al., <i>Ann. Rheum. Dis.</i> , "Autoantibody formation in patients with rheumatoid arthritis treated with anti-TNFalpha," 64(3):403-407 (2005)	
	ZG	KEYSTONE, Arthritis Res. Ther., "B cell targeted therapies," 7(3):S13-S18 (2005)	
	ZH	MYCKATYN et al., <i>J. Rheumatol.</i> , "Outcome of positive antinuclear antibodies in individuals without connective tissue disease," 30(4):736-739 (2003)	
	ZI	Notification of decision of the Technical Board of Appeals in Appeal Case T 18/09-3.3.08 in EP Patent 0 939 804, dated December 1, 2009	
	ZJ	Notice of Allowance issued in U.S. Patent Application No. 11/054,515, dated December 21, 2009	
	ZK	NICHOLS et al., Eur. J. Cancer, "Interleukin-2 Fusion Protein: An Investigational Therapy for Interleukin-2 Receptor Expressing Malignancies," 33(1):S34-S36 (1997)	
	ZL	SWEENEY et al., <i>Bioconjug. Chem.</i> , "Interleukin 7 (IL-7) Receptor-Specific Cell Killing by DAB <sub>389</sub> IL-7: A Novel Agent for the Elimination of IL-7 Receptor Positive Cells," 9:201-207 (1998)	
	ZM	ALCAMI et al., <i>J. Immunol.</i> , "Blockade of Chemokine Activity by a Soluble Chemokine Binding Protein from Vaccinia Virus," 160:624-633 (1998)	
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	ZQ	Non-Final Rejection issued in U.S. Patent Application No. 12/552,915, dated April 8, 2010	
	ZR	Requirement for Restriction/Election issued in U.S. Patent Application No. 12/605,202, dated April 8, 2010	
•	ZS	Requirement for Restriction/Election issued in U.S. Patent Application No. 12/701,301, dated May 3, 2010	
	ZT	Final Rejection issued in U.S. Patent Application No. 12/170,333, dated May 28, 2010	
	ZU	Final Rejection issued in U.S. Patent Application No. 12/393,693, dated May 28, 2010	

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Receipt date: 09/23/2010 —

				:	Complete if Known
Substitute for form 1449A/B/PTO				Application Number	09/589,288
INEC	RMATION I	חופר	'I OSLIBE	Filing Date	June 8, 2000
				First Named Inventor	Guo-Liang Yu
51A	TEMENT BY	AP	PLICANI	Group Art Unit	1647
	(Use as many sheet	s as ned	cessary)	Examiner Name	Bridget E. Bunner
Sheet 4 of 8				Attorney Docket Number	702041
				Client Reference No.	PF343P3C5

		OTHER - NON PATENT LITERATURE DOCUMENTS							
	Ī	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item							
Examiner Initials	Doc. No.	(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number (s), publisher, city and/or country where published.							
	ZV	HGS Press Release, "Human Genome Sciences and GlaxoSmithKline Announce							
		Topline 76-Week Results of Phase 3 Trial of Benlysta™ in Systemic Lupus							
		Erythematosus" (April 20, 2010)							
	ZW	Non-Final Rejection issued in U.S. Patent Application No. 12/186,404, dated June 21,							
	7 ٧	2010							
	ZX	HGS Miscellaneous Motion 3 (to exclude RDF Exhibitis 1010 and 1013-1016) filed in Patent Interference 105,652. Filed in the United States Patent Office on May 6, 2009.							
	ZY	RDF Miscellaneous Motion 1 (Motion to Exclude Evidence) filed in Patent Interference							
	~ '	105,652. Filed in the United States Patent Office on May 6, 2009.							
	ZZ	HGS Opposition 1A (Opposing RDF Miscellaneous Motion 1 to exclude evidence) filed							
		in Patent Inteference 105,652. Filed in the United States Patent Office on May 14,							
		2009.							
	AAA	RDF Opposition to HGS Miscellaneous Motion 3 (Motion to Exclude) filed in Patent							
		Interference 105,652. Filed in the United States Patent Office on May 14, 2009.							
	AAB	HGS Reply 3 (to exclude RDF Exhibits 1010 and 1013-1016) filed in Patent Interference							
	A A C	105,652. Filed in the United States Patent Office on May 21, 2009.							
	AAC	HGS Exhibit List (as of May 28, 2009) filed in Patent Interference 105,652. Filed in the United States Patent Office on May 28, 2009.							
	AAD	HGS Demonstratives from Oral Hearing, dated July 2, 2009. Filed in Patent							
	, , ,	Interference 105,652.							
	AAE	Transcript of Oral Hearing, dated September 4, 2009. Filed in Patent Interference							
		105,652.							
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	AAG	ARAI et al., <i>Annu. Rev. Biochem.</i> , 59: 783-836 (1990)							
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	AAJ	FUJIO et al., <i>Blood</i> , 95(7): 2204-2211 (2000)							
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	AAN	HUNTINGTON et al., Int. Immunol., 18(10): 1473-1485 (2006)							
	AAO	JANEWAY et al., Immunobiology: The Immune System in Health and Disease, Current							
	=	Biology Ltd./Garland Publishing, London. pp. 2:31, 7:1-7:41 (1996)							
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	AAS	LAWN et al., <i>Cell</i> , 15: 1157-1174 (1978)							
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	AAU	MIYAJIMA et al., <i>Annu. Rev. Immunol.</i> , 10: 295-331 (1992)							
	AAV	PABST et al., Anat. Embryol., 192: 293-299 (1995)	***						
	AAW	RANGES et al., <i>J. Exp. Med.</i> , 167: 1472-1478 (1988)							
	AAX	ROCHMAN et al. <i>J. Immunol.</i> , 178: 6720-6724 (2007)							
Examiner Si	gnature	Date Considered							

<sup>\*</sup> If the reference is not in English, then at least one of the following is provided: (a) an English translation in whole or in part or (b) a concise statement of relevance in the form of, for example, an English language counterpart, an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office.

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Substitute for form 1449A/B/PTO				Application Number	09/589,288
INFORMATION DISCLOSURE			1 OSHDE	Filing Date	June 8, 2000
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	OTHER - NON PATENT LITERATURE DOCUMENTS	· · · · · · · · · · · · · · · · · · ·				
Examiner Doc. Initials No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number (s), publisher, city and/or country where published.	Translation				
AAY	SHAN et al., <i>Physiol. Res.</i> , 55: 301-307 (2006)					
AAZ	SIEGEL et al., Nat. Immunol., 1(6): 469-474 (2000)					
BBA	STEIN et al., <i>J. Clin. Invest.</i> , 109: 1587-1598 (2002)					
BBE	STOECKLE et al., New Biol., 2(4): 313-323 (1990)					
ВВС						
ВВС	WATERSTON et al., <i>Nat. Genet.</i> , 1: 114-123 (1992)					
BBE	XU et al., Acta Biochim. Biophys. Sin., 39(12): 964-973 (2007)					
BBF						
ВВС	YOKOTA et al., <i>J. Immunol.</i> , 140(2): 531-536 (1988)					
BBH						
ВВІ	Eli Lilly letter on Statement on Grounds of Appeal filed before Technical Board of Appeals in Opposition of EP Patent No. 0 939 804 (Filed in the European Patent Office on May 1, 2009)					
ВВЈ	HGS Press Release, "Human Genome Sciences and GlaxoSmithKline Announce Positive Phase 3 Study Results for Benlysta™" (July 20, 2009)					
BBK	European Patent Office on July 23, 2009)					
BBL	Eli Lilly letter to Technical Board of Appeals regarding Response to Appeal in Opposition of EP Patent No. 0 939 804 (Filed in the European Patent Office on July 23, 2009)					
BBN	Eli Lilly Response to Appeal filed before Technical Board of Appeals in Opposition of EP Patent No. 0 939 804 (Filed in the European Patent Office on July 23, 2009)					
ВВМ	Declaration and Curriculum Vitae of Dr. Thomas Lane Rothstein filed in Opposition of EP Patent No. 0 939 804 (Filed in the European Patent Office on July 27, 2009)					
ВВС						
BBF						
ВВС	HGS letter on Grounds of Appeal update filed before Technical Board of Appeals in Opposition of EP Patent No. 0 939 804 (Filed in the European Patent Office on July 31, 2009)					
BBF	Preliminary Opinion of the Board of Appeal in Appeal Case T 18/09-3.3.08 in EP Patent 0939804 (August 24, 2009)					
BBS	Auxiliary Request I filed before Technical Board of Appeals in Opposition of EP Patent No. 0 939 804 (Filed in the European Patent Office on September 30, 2009)					
ВВТ						
ВВС						
ВВУ						
вви	Auxiliary Request V filed before Technical Board of Appeals in Opposition of EP Patent No. 0 939 804 (Filed in the European Patent Office on September 30, 2009)					

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	1	OTHER - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Doc. No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number (s), publisher, city and/or country where published.	Translation
	BBX	Opposition of EP Patent No. 0 939 804 (Filed in the European Patent Office on September 30, 2009)	
	BBY	Further Declaration of Dr. John Calley filed in Opposition of EP Patent No. 0 939 804 (Filed in the European Patent Office on September 30, 2009)	
	BBZ	HGS Reply to Preliminary Opinion filed before Technical Board of Appeals in Opposition of EP Patent No. 0 939 804 (Filed in the European Patent Office on September 30, 2009)	
	CCA	Main Request filed before Technical Board of Appeals in Opposition of EP Patent No. 0 939 804 (Filed in the European Patent Office on September 30, 2009)	
		Second Declaration of Dr. Garnett Herrel Kelsoe III and list of annexes filed before Technical Board of Appeals in Opposition of EP Patent No. 0 939 804 (Filed in the European Patent Office on September 30, 2009)	
	ccc	Second Declaration of Dr. Randolph J. Noelle filed before Technical Board of Appeals in Opposition of EP Patent No. 0 939 804 (Filed in the European Patent Office on September 30, 2009)	
	CCD	Second Declaration of Dr. Stuart Farrow filed before Technical Board of Appeals in Opposition of EP Patent No. 0 939 804 (Filed in the European Patent Office on September 30, 2009)	
	CCE	Summary of Respondent's Proposed Experiments filed before Technical Board of Appeals in Opposition of EP Patent No. 0 939 804 (Filed in the European Patent Office on September 30, 2009)	
	CCF	Third Declaration of Dr. Andrew Martin and Appendix 1 filed before Technical Board of Appeals in Opposition of EP Patent No. 0 939 804 (Filed in the European Patent Office on September 30, 2009)	
	CCG	Minutes of the Oral Proceedings before the Opposition Division, issued by the European Patent Office in the matter of Eli Lilly's Opposition of EP 0 939 804 (October 26, 2009)	
	ССН	Eli Lilly's Skeleton Argument on appeal in UK Revocation suit HC06CO2687 (October 30, 2009)	
	CCI	Human Genome Science's Consolidated Skeleton Argument on appeal in UK Revocation suit HC06CO2687 (December 2009)	
	CCJ	Eli Lilly's Note on the Decision of the TBA in T18/09 in UK Revocation suit HC06CO2687 (December 4, 2009)	
	ССК	Revocation suit HC06CO2687 (December 11, 2009)	
	CCL	Human Genome Science's mark-up of Eli Lilly's Note on why the Decision of the TBA in T18/09 is different on the Facts in UK Revocation suit HC06CO2687 (December 11, 2009)	
	ССМ	Human Genome Science's Note on why the Invention is susceptible of Industrial Application in UK Revocation suit HC06CO2687 (December 10, 2009)	
		Human Genome Science's Note on paragraph 26 of Judgment by Mr Justice Kitchin in UK Revocation suit HC06CO2687 (December 2009)	
	cco	Human Genome Science's Reply Note in UK Revocation suit HC06CO2687 (December 11, 2009)	

<sup>\*</sup> If the reference is not in English, then at least one of the following is provided: (a) an English translation in whole or in part or (b) a concise statement of relevance in the form of, for example, an English language counterpart, an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office.

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		OTHER - NON PATENT LITERATURE DOCUMENTS	
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<u>,</u>		Approved Judgment by Mr Justice Jacob on appeal from the Chancery Division in UK Revocation suit HC06CO2687 (February 9, 2010)	
		Notification of decision of the Technical Board of Appeals in Appeal Case T 18/09-3.3.08 in EP Patent 0 939 804 (October 21, 2009)	
		Memorandum opinion and order issued June 29, 2010 in Patent Interference No. 105,652	
		Judgment on preliminary motions issued June 29, 2010 in Patent Interference No. 105,652	
		"Classification Criteria for the Diagnosis of Systemic Lupus Erythematosus," retrieved July 22, 2010 from http://medicalcriteria.com/criteria/sle.htm	
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		EL-HALLAK et al., <i>J. Pediatr.</i> , "Clinical Effects and Safety of Rituximab for Treatment of Refractory Pediatric Autoimmune Diseases," 150:376-382 (2007)	
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	DDE	Final Rejection issued in U.S. Patent Application No. 09/932,322, dated August 24, 2005	
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Examiner Signature	Date Considered	

<sup>\*</sup> If the reference is not in English, then at least one of the following is provided: (a) an English translation in whole or in part or (b) a concise statement of relevance in the form of, for example, an English language counterpart, an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office.

				Complete if Known		
Substitute for fo	rm 1449A/B/PTO			Application Number	09/589,288	
INFO	DEMATION I	חופר	CLOSURE	Filing Date	June 8, 2000	
				First Named Inventor	Guo-Liang Yu	
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	(Use as many sheet	s as ne	cessary)	Examiner Name	Bridget E. Bunner	
	· -			Attorney Docket Number	702041	
Sheet	8	of	8	Client Reference No	PE343P3C5	

		OTHER - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Doc. No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number (s), publisher, city and/or country where published.	Translation *
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<sup>\*</sup> If the reference is not in English, then at least one of the following is provided: (a) an English translation in whole or in part or (b) a concise statement of relevance in the form of, for example, an English language counterpart, an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office.

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Examiner Signature

Date Considered

02/22/2011